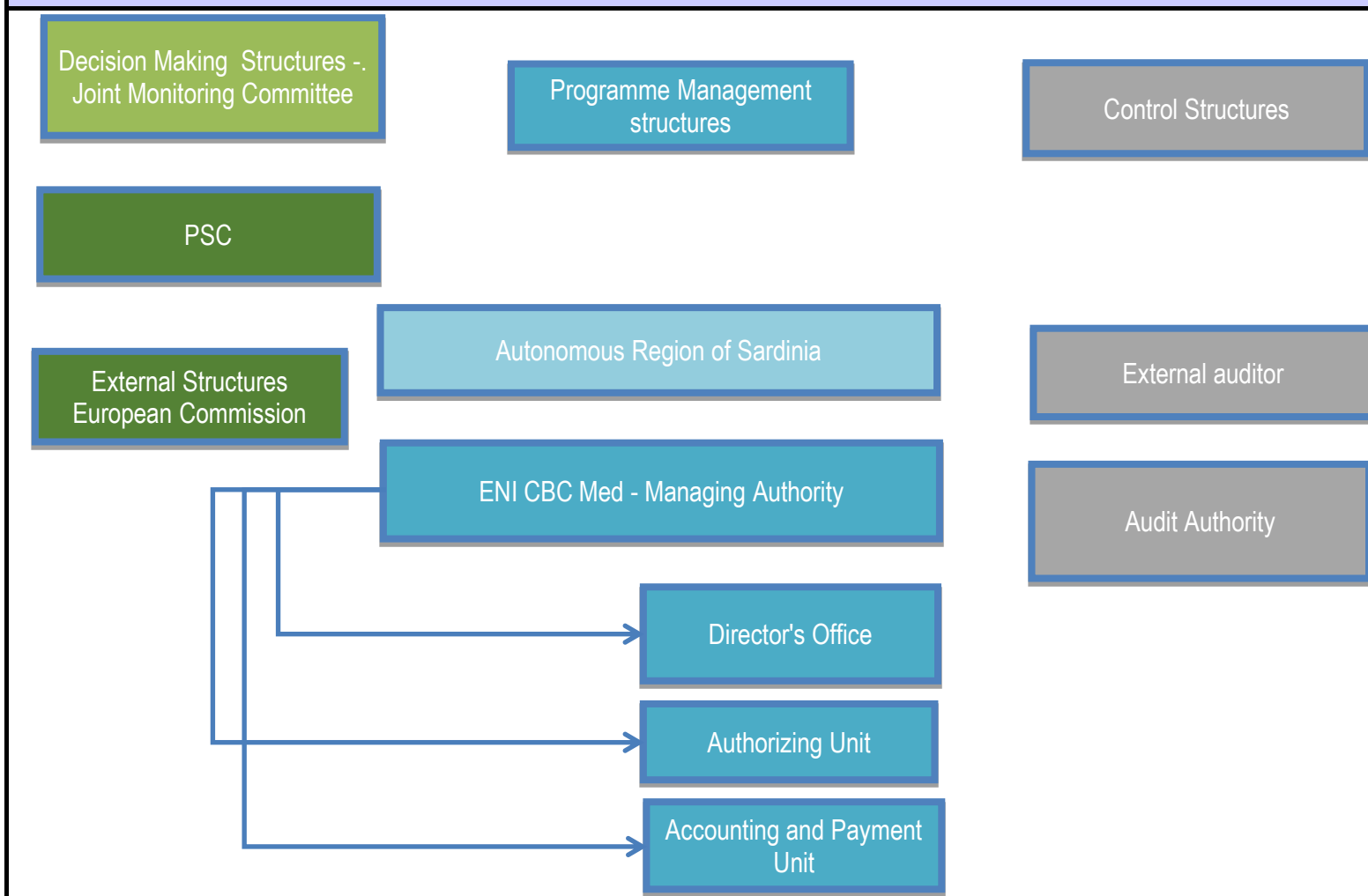


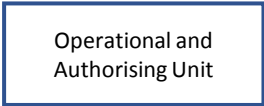
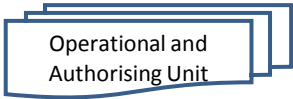


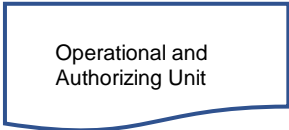

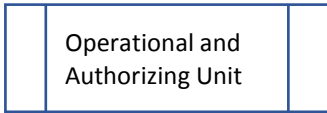


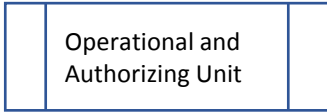
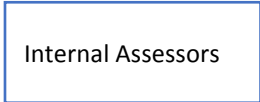


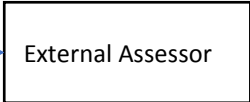
AUDIT TRAIL DATA SHEET

Selection of Projects (Call for standard, strategic, capitalization projects)

Approved with Decision of the Managing Authority No.

Programme	OP ENI CBC Med 2014-2020
Class of Operations	--
Managing Authority	Autonomous Region of Sardinia
Amount foreseen (EU Funds)	--








AUDIT TRAIL Selection of Projects (Call for standard, strategic, capitalization projects)				
ACTIVITIES	MANAGEMENT BODIES (1)	BENEFICIARIES	EXTERNAL BODIES	MANAGEMENT VERIFICATIONS
Technical analysis preparatory to the definition of the priority interventions to be carried out, the rules for funding and the guidelines for the submission of proposals				
Drafting of the call budget planning proposal				
Verification of the Programmatic Coherence of the proposal and its approval				
Ex-ante publicity of the final version of the Guidelines				
Preparation, approval, launch and publication of the Call and related annexes				
Submission of proposals and application dossiers (via e-form)				
Appointment of the Project Selection Committee (PSC)				
Appointment of internal assessors				
Evaluation and selection process Step 1 - (A) "Administrative check"				
Request for clarifications and / or integrations to applicants				
Assignment of proposals to external assessors				

AUDIT TRAIL Selection of Projects (Call for standard, strategic, capitalization projects)				
ACTIVITIES	MANAGEMENT BODIES (1)	BENEFICIARIES	EXTERNAL BODIES	MANAGEMENT VERIFICATIONS
Evaluation and selection process Step 1 - (B) "Strategic evaluation"			External Assessor	
	PSC			
	PSC			
Approval of the evaluation results - Step 1	Operational and Authorizing Unit			
	MA			
	JMC			
Acknowledgment of the results of the selection and publication procedure, communication of results to applicants.	Operational and Authorizing Unit	Applicants		
Possible lodging of appeals/complaints	MA	Applicants		
Application of the results of appeals by official act	MA (and in			
Acknowledgment of the results of the appeals, publication, communication of the results	Operational and Authorizing Unit	Applicants		
Operational evaluation and eligibility check -			External Assessor	
			State Aid and ENV experts	

AUDIT TRAIL Selection of Projects (Call for standard, strategic, capitalization projects)				
ACTIVITIES	MANAGEMENT BODIES (1)	BENEFICIARIES	EXTERNAL BODIES	MANAGEMENT VERIFICATIONS
Step 2	Internal Assessors			
	PSC			
Approval of the report containing the evaluation results - Step 2 from a procedural point of view	Operational and Authorizing Unit			
Inter-service consultation	MA		European Commission	
Approval of the evaluation results - Step 2	JMC			
Acknowledgment of the results of the selection and publication procedure, communication of results to applicants (award letters)	Operational and Authorizing Unit	Applicants		UC_MA
Possible lodging of appeals	MA	Applicants		
Application of the results of appeals by official act	MA (and in case JMC)			
Acknowledgment of the results of the appeals, publication, communication of the results to the appellants	Operational and Authorising Unit	Applicants		
Negotiation in case of request for corrections following comments or recommendations expressed by external environmental and/or State aid experts during assessment of the FAF	JTS/Operational and Authorising	Applicants		
Stipulation of the grant contract	Operational and Authorising Unit	Lead Beneficiary		AUT UC_MA

Audit Trail Selection of Projects (Call for standard, strategic, capitalization projects)					
n.	RESPONSABILE DEL CONTROLLO	DESCRIZIONE E STRUMENTI DI CONTROLLO	ARCHIVIAZIONE DOCUMENTI	DOCUMENTAZIONE OGGETTO DEL CONTROLLO	NORMATIVA DI RIFERIMENTO
MA	UC_MA	<p>Verification of programmatic coherence Coherence of the criteria applied with respect to those included in the reference regulations and in the call and their consistency with the objectives of the OP and the horizontal policies of the European Union (Equal Opportunities, Environment, Employment, Competition)</p> <p>Verification of the correctness of the selection and evaluation procedure for the purposes of the correct application of EU and national legislation in compliance with the principles of transparency, equal opportunities, non-discrimination and competition. In particular, the control is divided into 3 macro-phases relating to publication, selection, negotiation and contractualization. With regard to the publication phase, the OAU carries out the following controls:</p> <ul style="list-style-type: none">- Approval by the JMC of the ToRs and the Guidelines of the call- Coverage of the call budget from the consistency of the annual financial plan- Ex ante publicity- GOverning by the Guidelines of: modalities of submission of project proposals; eligibility requirements for lead partners and partners; project evaluation criteria in line with the thematic objectives and priorities of the program; scoring criteria- Functioning of the IT system in order to ensure the evaluation of project proposals in compliance with the principles of confidentiality and fair treatment- OAU administrative act for the launch and approval of the call and the documents included in the application package- Publication of the call on the Program and ARS websites- Appropriate deadline for the submission of proposals <p>With regard to the selection phase, the OAU carries out the following checks:</p> <ul style="list-style-type: none">- Appointment of the PSC by the JMC- Approval of ToRs for the recruitment of external Evaluators, Environmental Experts and State Aid Experts by the JMC- Contractualization of external evaluators- Appointment of internal evaluators by the MA- Declaration of impartiality and confidentiality by all parties involved in the assessment- Electronic registration of proposals- Presence in the IT system of checklists relating to administrative checks and qualitative assessments- Approval of the results of Step 1 by the PSC- Transmission to the MA Director of the PSC Step 1 report countersigned by the Director of the OAU Service- Approval by the JMC of the results of steps 1 and 2- OAU acknowledgment of the assessment results - STEP 1 and 2- Publication and communication to applicants of the results of Step 1 and 2- Availability of checklists relating to qualitative and environmental assessments, as well as eligibility and state aid checks in the IT system- Checks to avoid the duplication of activities among projects funded by the European Union <p>With regard to the negotiation and contracting phase, the OAU carries out the controls concerning:</p> <ul style="list-style-type: none">- Preparation of the contract dossier- Contracting phase (to be completed through the MIS)- Other documents to be submitted During the contracting phase (Financial Guarantee, FIF, Antimafia Checks, De minimis declaration...)	Aut	<p>Annual financial plan containing the authorization to allocate the resources necessary to cover</p> <p>JMC meeting minutes containing the approval of the ToRs and guidelines of the call</p> <p>JMC meeting minutes containing the approval of the ToRs for the recruitment of external evaluators, environmental experts and of State Aid Experts</p> <p>Guidelines and documents attached to the call</p> <p>Administrative act of approval and launch of the call</p> <p>JMC meeting minutes for the appointment of the members of the PSC</p> <p>Contracts stipulated with external assessors</p> <p>Declaration of absence of conflict of interest, impartiality and confidentiality</p> <p>Assignment of projects to internal assessors and declarations of: impartiality and confidentiality</p> <p>PSC reports countersigned by the Authorizing Unit Director (Steps 1 and 2)</p> <p>Communication of results to applicants (Steps 1 and 2)</p> <p>Communication relating to inter-service consultation</p> <p>JMC meeting minutes containing the approval of the list of projects eligible for funding, the reserve list and projects not eligible for funding (Steps 1 and 2)</p> <p>Administrative acts of acknowledgment of the results of the selection process Step 1 and Step 2</p> <p>Publications of the results of the evaluation process (Step 1 and Step 2) on the Program and ARS websites</p> <p>Awarding notification letter</p> <p>Negotiation check lists</p> <p>Antimafia Certificate</p> <p>Grant Contract and annexes; addendum to the Grant Contract</p>	<p>JOP ENI CBC MED</p> <p>Reg. UE 827/2014 e ssmmii</p> <p>Reg. Fin UE 1046/2018</p> <p>Applicable European and national legislation (State aid, Transparency, Procurement...)</p> <p>PRAG</p> <p>Horizontal policies of the European Union (Equal Opportunities, Environment, Employment, Competition)</p> <p>Eligibility and selection criteria approved by JMC</p> <p>DMCS</p>
AUT	Operational and Authorising Unit	<p>Verification of the correctness of the selection and evaluation procedure for the purposes of the correct application of EU and national legislation in compliance with the principles of transparency, equal opportunities, non-discrimination and competition. (self/assessent)</p>	Aut	<p>Annual financial plan containing the authorization to allocate the resources necessary to cover</p> <p>JMC meeting minutes containing the approval of the ToRs and guidelines of the call</p> <p>JMC meeting minutes containing the approval of the ToRs for the recruitment of external evaluators, environmental experts and of State Aid Experts</p> <p>Guidelines and documents attached to the call</p> <p>Administrative act of approval and launch of the call</p> <p>JMC meeting minutes for the appointment of the members of the PSC</p> <p>Contracts stipulated with external assessors</p> <p>Assignment of projects to internal assessors and declarations of: impartiality and confidentiality</p> <p>PSC reports countersigned by the Authorizing Unit Director (Steps 1 and 2)</p> <p>Communication of results to applicants (Steps 1 and 2)</p> <p>Communication relating to inter-service consultation</p> <p>JMC meeting minutes containing the approval of the list of projects eligible for funding, the reserve list and projects not eligible for funding (Steps 1 and 2)</p> <p>Administrative acts of acknowledgment of the results of the selection process Step 1 and Step 2</p> <p>Publications of the results of the evaluation process (Step 1 and Step 2) on the Program and ARS website</p> <p>Checklists for administrative checks and assessment</p>	<p>JOP ENI CBC MED</p> <p>Reg. UE 827/2014 e ssmmii</p> <p>Reg. Fin UE 1046/2018</p> <p>Applicable European and national legislation (State aid, Transparency, Procurement...)</p> <p>PRAG</p> <p>Horizontal policies of the European Union (Equal Opportunities, Environment, Employment, Competition)</p> <p>Eligibility and selection criteria approved by JMC</p> <p>DMCS</p>

LEGENDA			
Simboli utilizzati nel flow- chat	Significato	Simboli utilizzati nel flow- chat	Significato
	Attività complessa		Documento ufficiale
	Attività predefinita		Documento multiplo
	Decisione		